Journal of Nonlinear and Convex Analysis Volume 16, Number 11, 2015, 2269–2277



# ON THE JAMES CONSTANTS OF TWO-DIMENSIONAL LORENTZ SEQUENCE SPACES AND ITS DUAL

#### KEN-ICHI MITANI, KICHI-SUKE SAITO, AND RYOTARO TANAKA

This paper is dedicated to Professor Wataru Takahashi on the occasion of his 70th birthday.

ABSTRACT. Let J(X) denote the James constant of a Banach space X. Then, for a Banach space X and its dual  $X^*$ , it is known that  $J(X) \neq J(X^*)$  in general. In this paper, we show that  $J(d^{(2)}(\omega, q)) = J(d^{(2)}(\omega, q)^*)$  for all  $1 < q < \infty$  and  $0 < \omega < 1$ , where  $d^{(2)}(\omega, q)$  is a two-dimensional Lorentz sequence space. We also give some remarks on the James constant of  $J(d^{(2)}(\omega, q))$ .

## 1. INTRODUCTION AND PRELIMINARIES

Let  $S_X$  be the unit sphere of a Banach space X. The James constant J(X) of a Banach space X is defined by

$$J(X) = \sup\{\min\{\|x+y\|, \|x-y\|\} : x, y \in S_X\}$$

(Gao and Lau [2]). It is well-known that  $\sqrt{2} \leq J(X) \leq 2$  for any Banach space X, and J(X) < 2 if and only if X is uniformly non-square ([2, 4]).

A norm  $\|\cdot\|$  on  $\mathbb{R}^2$  is said to be absolute if  $\|(z, w)\| = \|(|z|, |w|)\|$  for all  $(z, w) \in \mathbb{R}^2$ , and normalized if  $\|(1, 0)\| = \|(0, 1)\| = 1$ . The  $\ell_p$ -norms  $\|\cdot\|_p$  are such examples;

$$||(z,w)||_p = \begin{cases} (|z|^p + |w|^p)^{1/p} & \text{if } 1 \le p < \infty, \\ \max\{|z|, |w|\} & \text{if } p = \infty. \end{cases}$$

Let  $AN_2$  be the set of all absolute normalized norms on  $\mathbb{R}^2$ , and  $\Psi_2$  the set of all convex functions  $\psi$  on [0, 1] satisfying max $\{1 - t, t\} \leq \psi(t) \leq 1 \ (0 \leq t \leq 1)$ . As in Bonsall and Duncan [1] (cf. [10]),  $AN_2$  and  $\Psi_2$  are in 1-1 correspondence under the equation

(1.1) 
$$\psi(t) = \|(1-t, t)\| \ (0 \le t \le 1)$$

Indeed, for all  $\psi \in \Psi_2$  let

$$\|(z,w)\|_{\psi} = \begin{cases} (|z|+|w|)\psi\left(\frac{|w|}{|z|+|w|}\right) & \text{if } (z,w) \neq (0,0), \\ 0 & \text{if } (z,w) = (0,0). \end{cases}$$

Then  $\|\cdot\|_{\psi} \in AN_2$ , and  $\|\cdot\|_{\psi}$  satisfies (1.1). From this result, we can consider many non  $\ell_p$ -type norms easily. Now let  $\psi_p(t) = \{(1-t)^p + t^p\}^{1/p} \in \Psi_2$ . As is easily seen, the  $\ell_p$ -norm  $\|\cdot\|_p$  is associated with  $\psi_p$ .

<sup>2010</sup> Mathematics Subject Classification. 46B20, 46B25.

Key words and phrases. James constant, Lorentz sequence space, absolute normalized norm.

The second was supported in part by Grants-in-Aid for Scientific Research (No. 15K04920), Japan Society for the Promotion of Science.

An important example of absolute normed spaces is the following 2-dimensional Lorentz sequence space  $d^{(2)}(\omega, q)$ . Let  $0 < \omega < 1$  and  $1 \leq q < \infty$ . The space  $d^{(2)}(\omega, q)$  is  $\mathbb{R}^2$  with the norm

$$||(x,y)||_{\omega,q} = (x^{*q} + \omega y^{*q})^{1/q},$$

where  $(x^*, y^*)$  is the non-increasing rearrangement of (|x|, |y|), that is,  $x^* \ge y^*$  (cf. [3]).

In [3], Kato and Maligranda considered the James constant of  $d^{(2)}(\omega, q)$  and calculated it in the case where  $q \ge 2$ . For  $1 \le q < 2$  it was completely determined by Mitani, Saito and Suzuki [8]. Furthermore, we completely computed the James constant of the dual space  $d^{(2)}(\omega, q)^*$  of  $d^{(2)}(\omega, q)$  as in Mitani and Saito [6]; see also [11, 12].

As an important remark on James constants, it is known that  $J(X) \neq J(X^*)$  in general (cf. [4]). However, the equality holds if  $X = \ell_p$ , that is,  $J(\ell^p) = J(\ell^q)$  for each  $1 < p, q < \infty$  satisfying 1/p + 1/q = 1. Motivated by these observation, we consider the following problem in this paper.

**Problem.** Does the equality  $J(d^{(2)}(\omega,q)) = J(d^{(2)}(\omega,q)^*)$  hold for all  $1 < q < \infty$  and  $0 < \omega < 1$ ?

In fact, this problem is partially solved by some results in [3, 7, 8], that is, we have the following theorem.

**Theorem 1.1.** Let  $1 < q < \infty$ . Then

$$J(d^{(2)}(\omega,q)) = 2\left(\frac{1}{1+\omega}\right)^{1/q} = J(d^{(2)}(\omega,q)^*)$$

if either  $q \ge 2$  or 1 < q < 2 and  $0 < \omega \le (\sqrt{2} - 1)^{2-q}$ .

Thus, throughout this paper, we consider the case of 1 < q < 2 and  $(\sqrt{2}-1)^{2-q} < \omega < 1$  unless otherwise stated.

2. James constant of absolute norms on  $\mathbb{R}^2$ 

Let X be a Banach space and  $x, y \in X$ . We say that x is isosceles orthogonal to y, denoted by  $x \perp_I y$ , if ||x + y|| = ||x - y||. We define the function  $\beta(x)$  on X by

$$\beta(x) = \sup\{\min\{\|x+y\|, \|x-y\|\} : y \in S_X\}.$$

To calculate the constant  $J((\mathbb{R}^2, \|\cdot\|_{\psi}))$ , we need the following lemma given in Gao and Lau [2].

**Lemma 2.1** ([2]). Let  $\psi \in \Psi_2$  and  $x \in S_{(\mathbb{R}^2, \|\cdot\|_{\psi})}$ . Then there exists a unique (up to the sign) vector  $y_0 \in S_{(\mathbb{R}^2, \|\cdot\|_{\psi})}$  with  $x \perp_I y_0$ . Moreover,  $\beta(x) = \|x + y_0\|_{\psi}$ .

From Lemma 2.1 we can write

 $J((\mathbb{R}^2, \|\cdot\|_{\psi})) = \sup \{ \|x+y\|_{\psi} : x, y \in S_{(\mathbb{R}^2, \|\cdot\|_{\psi})} \text{ with } x \perp_I y \}.$ 

We recall that an absolute normalized norm  $\|\cdot\|$  on  $\mathbb{R}^2$  is symmetric in the sense that  $\|(x,y)\| = \|(y,x)\|$  for all  $(x,y) \in \mathbb{R}^2$  if and only if the corresponding function  $\psi$  is symmetric with respect to t = 1/2, that is,  $\psi(1-t) = \psi(t)$  for every  $t \in [0, 1]$ .

Using Lemma 2.1 we gave the following formula for the case where  $\psi$  is symmetric with respect to t = 1/2.

**Theorem 2.2** ([6]). Let  $\psi \in \Psi_2$ . If  $\psi$  is symmetric with respect to t = 1/2, then

$$J((\mathbb{R}^2, \|\cdot\|_{\psi})) = \max_{0 \le t \le 1/2} \frac{2 - 2t}{\psi(t)} \psi\left(\frac{1}{2 - 2t}\right).$$

We consider a function in the theorem above:

$$f(t) = \frac{2-2t}{\psi(t)}\psi\left(\frac{1}{2-2t}\right) \text{ for all } t \in [0, 1/2].$$

Since  $f(0) = 2\psi(1/2)$  and  $f(1/2) = 1/\psi(1/2)$ , we always have the inequality  $J((\mathbb{R}^2, \|\cdot\|_{\psi})) \ge \max\{2\psi(1/2), 1/\psi(1/2)\}.$ 

As a direct consequence of Theorem 2.2, we have

**Proposition 2.3** ([6]). Let  $\psi \in \Psi_2$ . Assume that  $\psi$  is symmetric with respect to t = 1/2.

(i) If 
$$\psi \ge \psi_2$$
 and  $M_1 = \max_{0 \le t \le 1} \psi(t)/\psi_2(t)$  is taken at  $t = 1/2$ , then  

$$J((\mathbb{R}^2, \|\cdot\|_{\psi})) = 2\psi\left(\frac{1}{2}\right).$$
(ii) If  $\psi \le \psi_2$  and  $M_2 = \max_{0 \le t \le 1} \psi_2(t)/\psi(t)$  is taken at  $t = 1/2$ , then

$$J((\mathbb{R}^2, \|\cdot\|_{\psi})) = \frac{1}{\psi(1/2)}.$$

**Example 2.4.** Let  $1 \le p \le \infty$  and 1/p + 1/p' = 1.

- (i) If  $1 \le p \le 2$ , then  $J((\mathbb{R}^2, \|\cdot\|_p)) = 2\psi_p(1/2) = 2^{1/p}$ . (ii) If  $2 \le p \le \infty$ , then  $J((\mathbb{R}^2, \|\cdot\|_p)) = 1/\psi_p(1/2) = 2^{1/p'}$ .

**Example 2.5.** Let  $1/2 \le \beta \le 1$ , and let  $\psi_{\beta}(t) = \max\{1-t, t, \beta\}$ . Then

$$J((\mathbb{R}^2, \|\cdot\|_{\psi_{\beta}})) = \begin{cases} 1/\beta = 1/\psi_{\beta}(1/2) & \text{if } 1/2 \le \beta \le 1/\sqrt{2}, \\ 2\beta = 2\psi_{\beta}(1/2) & \text{if } 1/\sqrt{2} \le \beta \le 1. \end{cases}$$

Here, we propose the following problem: Does there exist a function  $\psi \in \Psi_2$ satisfying

$$J((\mathbb{R}^2, \|\cdot\|_{\psi})) > \max\{2\psi(1/2), 1/\psi(1/2)\}?$$

In Section 4, we discuss the case of two dimensional Lorentz sequence spaces.

3. James constant of  $d^{(2)}(w,q)$ 

Let X be a normed space. As in [2], the Schäffer constant g(X) of X is defined by

$$g(X) = \inf\{\max\{\|x+y\|, \|x-y\|\} : x, y \in S_X\}.$$

By [2, Theorem 2.5], we have

**Proposition 3.1.** Let X be a normed space. Then  $1 \leq g(X) \leq \sqrt{2} \leq J(X) \leq 2$ and q(X)J(X) = 2.

Note here that the norm  $\|\cdot\|_{\omega,q}$  of  $d^{(2)}(\omega,q)$  is a symmetric absolute normalized norm on  $\mathbb{R}^2$ , and the corresponding convex function is given by

$$\psi_{\omega,q}(t) = \begin{cases} ((1-t)^q + \omega t^q)^{1/q} & \text{if } 0 \le t \le 1/2, \\ (t^q + \omega (1-t)^q)^{1/q} & \text{if } 1/2 \le t \le 1. \end{cases}$$

**Theorem 3.2** ([8]). Let 1 < q < 2 and 1/p + 1/q = 1. If  $(\sqrt{2} - 1)^{2-q} < \omega < 1$ , then there exists a unique pair of real numbers  $s_0, s_1$  such that

$$\left(\frac{1-\omega}{\omega(1+\omega)}\right)^{p-1} < s_0 < \omega^{1/(2-q)} < s_1 < 1$$

and  $(1+s_i)^{q-1}(1-\omega s_i^{q-1}) = \omega(1-s_i)^{q-1}(1+\omega s_i^{q-1})$  for i = 0, 1. (i) If  $(\sqrt{2}-1)^{2-q} < \omega \le \sqrt{2}^q - 1$ , then

$$J(d^{(2)}(\omega,q)) = \max\left\{ \left(\frac{2(1+s_0)^{q-1}}{1+\omega s_0^{q-1}}\right)^{1/q}, 2\left(\frac{1}{1+\omega}\right)^{1/q} \right\}$$

and

$$g(d^{(2)}(\omega,q)) = \min\left\{\left(\frac{2(1+s_1)^{q-1}}{1+\omega s_1^{q-1}}\right)^{1/q}, (1+\omega)^{1/q}\right\}.$$

(ii) If  $\sqrt{2}^q - 1 < \omega < 1$ , then

$$J(d^{(2)}(\omega,q)) = \left(\frac{2(1+s_0)^{q-1}}{1+\omega s_0^{q-1}}\right)^{1/q}$$

and

$$g(d^{(2)}(\omega,q)) = \left(\frac{2(1+s_1)^{q-1}}{1+\omega s_1^{q-1}}\right)^{1/q}$$

*Proof.* Let f be a real-valued function on [0, 1/2] given by

$$f(t) = \frac{2 - 2t}{\psi_{\omega,q}(t)} \psi_{\omega,q}\left(\frac{1}{2 - 2t}\right) = \left(\frac{\omega(1 - 2t)^q + 1}{(1 - t)^q + \omega t^q}\right)^{1/q}$$

for all  $t \in [0, 1/2]$ . We also put

$$g(s) = f\left(\frac{s}{1+s}\right) = \left(\frac{(1+s)^q + \omega(1-s)^q}{1+\omega s^q}\right)^{1/q}$$

for all  $s \in [0, 1]$ . Then the derivative of g is

$$g'(s) = \frac{((1+s)^q + \omega(1-s)^q)^{1/q-1}}{(1+\omega s^q)^{1/q+1}} \times \{(1+s)^{q-1}(1-\omega s^{q-1}) - \omega(1-s)^{q-1}(1+\omega s^{q-1})\}.$$

We put  $\alpha = q - 1$  and define a function  $g_1$  from [0, 1] into  $\mathbb{R}$  by

$$g_1(s) = (1+s)^{\alpha}(1-\omega s^{\alpha}) - \omega(1-s)^{\alpha}(1+\omega s^{\alpha})$$

2272

for s with  $0 \le s \le 1$ . If  $(\sqrt{2}-1)^{2-q} < \omega < 1$ , then  $\omega^{1/(2-q)} > \sqrt{2}-1$ . Thus we have

$$\omega^{1/(2-q)} > \frac{1 - \omega^{1/(2-q)}}{1 + \omega^{1/(2-q)}} > \frac{1 - \omega}{1 + \omega}$$

We consider a function  $h(s) = \omega^{1-p} s^{p-1}$  on [0, 1]. Since h is an increasing function on [0, 1],

$$\left(\frac{1-\omega}{\omega(1+\omega)}\right)^{p-1} = h\left(\frac{1-\omega}{1+\omega}\right) < h(\omega^{1/(2-q)}) = \omega^{1/(2-q)}.$$

Thus we have

$$\left(\frac{1-\omega}{\omega(1+\omega)}\right)^{p-1} < \omega^{1/(2-q)}.$$

Now, since (p-1)(q-1) = 1, one has that

$$g_1\left(\left(\frac{1-\omega}{\omega(1+\omega)}\right)^{p-1}\right)$$
$$=\frac{2\omega}{1+\omega}\left[\left\{1+\left(\frac{1-\omega}{\omega(1+\omega)}\right)^{p-1}\right\}^{q-1}-\left\{1-\left(\frac{1-\omega}{\omega(1+\omega)}\right)^{p-1}\right\}^{q-1}\right]$$
$$>0.$$

These observation and [8, Table 1] together show that there uniquely exists a pair of real numbers  $s_0, s_1$  such that

$$\left(\frac{1-\omega}{\omega(1+\omega)}\right)^{p-1} < s_0 < \omega^{1/(2-q)} < s_1 < 1$$

and  $g_1(s_0) = g_1(s_1) = 0$ . For i = 0, 1, we have

$$f\left(\frac{s_i}{1+s_i}\right) = g(s_i) = \left(\frac{2(1+s_i)^{q-1}}{1+\omega s_i^{q-1}}\right)^{1/q}.$$

We now remark that

$$f\left(\frac{s}{1+s}\right)f\left(\frac{1-s}{2}\right) = 2$$

for any  $s \in [0, 1]$ . Then, as was shown in [8], the function g(s) = f(s/(1+s)) has a maximal at  $s_0$  and a minimal at  $s_1$ , and so, the function f((1-s)/2) = 2/f(s/(1+s)) has a minimal at  $s_0$  and a maximal at  $s_1$ .

s	0		$s_0$		$s_1$		1
$f\left(\frac{s}{1+s}\right)$		$\checkmark$		$\searrow$		٢	
$f\left(\frac{1-s}{2}\right)$		$\searrow$		$\nearrow$		$\searrow$	

This implies that  $f((1-s_0)/2) = f(s_1/(1+s_1))$  and  $f((1-s_1)/2) = f(s_0/(1+s_0))$ . Hence it must be  $s_1/(1+s_1) = (1-s_0)/2$ , which implies that

$$f\left(\frac{s_0}{1+s_0}\right)f\left(\frac{s_1}{1+s_1}\right) = 2.$$

Thus we finally have this theorem by Proposition 3.1. This completes the proof.  $\Box$ 

4. James constant of  $d^{(2)}(w,q)^*$ 

For  $\psi \in \Psi_2$  let  $\|\cdot\|_{\psi}^*$  be the dual of the norm  $\|\cdot\|_{\psi}$ . Namely,

$$\|x\|_{\psi}^* = \sup\left\{|\langle x, y\rangle| : y \in S_{(\mathbb{R}^2, \|\cdot\|_{\psi})}\right\}$$

for any  $x \in \mathbb{R}^2$ . From [5] we have  $\|\cdot\|_{\psi}^* \in AN_2$  and the corresponding convex function  $\psi^*$  in  $\Psi_2$  is

$$\psi^*(t) = \sup_{0 \le s \le 1} \frac{(1-s)(1-t) + st}{\psi(s)}$$

for t with  $0 \le t \le 1$ .

To obtain the dual norm of  $\|\cdot\|_{\omega,q}$  we first determine the function  $\psi^*_{\omega,q}$ .

**Theorem 4.1** ([6]). Let  $0 < \omega < 1$ . If  $1 < q < \infty$ , then

$$\psi_{\omega,q}^*(t) = \begin{cases} ((1-t)^p + \omega^{1-p}t^p)^{1/p} & \text{if } 0 \le t < \omega/(1+\omega), \\ (1+\omega)^{1/p-1} & \text{if } \omega/(1+\omega) \le t < 1/(1+\omega), \\ (t^p + \omega^{1-p}(1-t)^p)^{1/p} & \text{if } 1/(1+\omega) \le t \le 1, \end{cases}$$

where 1/p + 1/q = 1.

Hence  $d^{(2)}(\omega, q)^*$  is isometrically isomorphic to the space  $\mathbb{R}^2$  endowed with the norm  $\|\cdot\|_{\omega,q}^*$  defined by

$$\begin{split} \|(x,y)\|_{\omega,q}^* = \left\{ \begin{array}{ll} (|x|^p + \omega^{1-p}|y|^p)^{1/p} & \text{if } |y| \leq \omega |x|, \\ (1+\omega)^{1/p-1}(|x|+|y|) & \text{if } \omega |x| \leq |y| \leq \omega^{-1} |x|, \\ (\omega^{1-p}|x|^p + |y|^p)^{1/p} & \text{if } \omega^{-1}|x| \leq |y|, \end{array} \right. \end{split}$$

where 1/p + 1/q = 1.

We now suppose that 1 < q < 2 and  $(\sqrt{2}-1)^{2-q} < \omega < 1$ . Since  $\psi_{\omega,q}^*$  is symmetric with respect to t = 1/2, we define a function  $f^*$  from [0, 1/2] into  $\mathbb{R}$  by

$$f^*(t) = \frac{2-2t}{\psi^*_{\omega,q}(t)}\psi^*_{\omega,q}\left(\frac{1}{2-2t}\right) = \frac{\left((1+s)^p + w^{1-p}(1-s)^p\right)^{1/p}}{(1+w^{1-p}s^p)^{1/p}}.$$

As in the proof of [6, Theorem 13], we only calculate the maximum of  $f^*$  on  $[(1 - \omega)/2, \omega/(1 + \omega)]$  to calculate the maximum of  $f^*$  on [0, 1]. To do this, we define a function g from  $[(1 - \omega)/(1 + \omega), \omega]$  into  $\mathbb{R}$  by

$$g^*(s) = f^*\left(\frac{s}{1+s}\right) = \frac{\left((1+s)^p + w^{1-p}(1-s)^p\right)^{1/p}}{(1+w^{1-p}s^p)^{1/p}}.$$

Since

$$\max\left\{g^*(s): \frac{1-\omega}{1+\omega} \le s \le \omega\right\} = \max\left\{f^*(t): \frac{1-\omega}{2} \le t \le \frac{\omega}{1+\omega}\right\},\$$

it is enough to calculate the maximum of  $g^*$  on  $[(1-\omega)/(1+\omega), \omega]$ . The derivative

of  $g^*$  is

$$(g^*)'(s) = \frac{((1+s)^p + \omega^{1-p}(1-s)^p)^{1/p-1}}{(1+\omega^{1-p}s^p)^{1/p+1}} \times \{(1+s)^{p-1}(1-\omega^{1-p}s^{p-1}) - \omega^{1-p}(1-s)^{p-1}(1+\omega^{1-p}s^{p-1})\}$$

We define

$$g_1^*(s) = (1+s)^{p-1}(1-\omega^{1-p}s^{p-1}) - \omega^{1-p}(1-s)^{p-1}(1+\omega^{1-p}s^{p-1}).$$

Since  $\omega > (\sqrt{2} - 1)^{2-q}$ , it follows that

$$\omega^{1/(2-q)} > \frac{1 - \omega^{1/(2-q)}}{1 + \omega^{1/(2-q)}} > \frac{1 - \omega}{1 + \omega}$$

and so  $(1-\omega)/(1+\omega) < \omega^{1/(2-q)} < \omega$ . As in the Table 3 of [6], there uniquely exists a pair of real numbers  $s_0^*, s_1^*$  such that

$$\frac{1-\omega}{1+\omega} < s_0^* < \omega^{1/(2-q)} < s_1^* < \omega$$

and  $g_1^*(s_0^*) = g_1^*(s_1^*) = 0$ . By Theorem 3.2,  $s_0$  and  $s_1$  satisfy the equation

$$(1+s_i)^{q-1}(1-\omega s_i^{q-1}) = \omega(1-s_i)^{q-1}(1+\omega s_i^{q-1}) \quad (i=0,1).$$

We put  $s_i' = \omega s_i^{q-1}$  (i = 0, 1). Then we obtain

$$\frac{1-\omega}{1+\omega} < s'_0 < \omega^{1/(2-q)} < s'_1 < \omega.$$

Furthermore, we have for i = 0, 1,

$$g_1^*(s_i') = (1 + \omega s_i^{q-1})^{p-1} (1 - s_i) - \omega^{1-p} (1 - \omega s_i^{q-1})^{p-1} (1 + s_i)$$
  
= {(1 - s\_i)^{q-1} (1 + \omega s\_i^{q-1})}<sup>p-1</sup> - {\omega^{-1} (1 + s\_i)^{q-1} (1 - \omega s\_i^{q-1})}<sup>p-1</sup>  
= 0

since (p-1)(q-1) = 1. By the uniqueness of  $\{s_0^*, s_1^*\}$ , one has  $s_i^* = s_i' = w s_i^{q-1}$  for i = 0, 1. Since  $s_2^* = \omega s_2^{q-1}$ , we have

$$\left(\frac{2(1+s_1)^{q-1}}{1+\omega s_1^{q-1}}\right)^{1/q} \left(\frac{2(1+s_1^*)^{p-1}}{1+\omega^{1-p}s_1^{*p-1}}\right)^{1/p} = 2.$$

On the other hand, as in the proof of Theorem 3.2,

$$\left(\frac{2(1+s_0)^{q-1}}{1+\omega s_0^{q-1}}\right)^{1/q} \left(\frac{2(1+s_1)^{q-1}}{1+\omega s_1^{q-1}}\right)^{1/q} = 2$$

Therefore, it follows that

$$\left(\frac{2(1+s_0)^{q-1}}{1+\omega s_0^{q-1}}\right)^{1/q} = \left(\frac{2(1+s_1^*)^{p-1}}{1+\omega^{1-p}s_1^{*p-1}}\right)^{1/p}$$

By the argument in above and [6, Theorem 13], we have the following result on the James and Schäffer constants of  $d^{(2)}(\omega, q)^*$ .

**Theorem 4.2.** Let 1 < q < 2 and 1/p + 1/q = 1. If  $(\sqrt{2} - 1)^{2-q} < \omega < 1$ , then there exists a unique pair of real numbers  $s_0, s_1$  such that

$$\left(\frac{1-\omega}{\omega(1+\omega)}\right)^{p-1} < s_0 < \omega^{1/(2-q)} < s_1 < 1$$

and  $(1+s_i)^{q-1}(1-\omega s_i^{q-1}) = \omega(1-s_i)^{q-1}(1+\omega s_i^{q-1})$  for i = 0, 1. (a) If  $(\sqrt{2}-1)^{2-q} < \omega \le \sqrt{2}^q - 1$ , then

$$J(d^{(2)}(\omega,q)^*) = \max\left\{ \left(\frac{2(1+s_0)^{q-1}}{1+\omega s_0^{q-1}}\right)^{1/q}, 2\left(\frac{1}{1+\omega}\right)^{1/q} \right\}$$

and

$$g(d^{(2)}(\omega,q)^*) = \min\left\{ \left(\frac{2(1+s_1)^{q-1}}{1+\omega s_1^{q-1}}\right)^{1/q}, (1+\omega)^{1/q} \right\}.$$

(b) If 
$$\sqrt{2^{q}} - 1 < \omega < 1$$
, then

$$J(d^{(2)}(\omega,q)^*) = \left(\frac{2(1+s_0)^{q-1}}{1+\omega s_0^{q-1}}\right)^{1/q}$$

and

$$g(d^{(2)}(\omega,q)^*) = \left(\frac{2(1+s_1)^{q-1}}{1+\omega s_1^{q-1}}\right)^{1/q}$$

As an immediate consequence of Theorems 3.2 and 4.2, one has

$$J(d^{(2)}(\omega, q)^*) = J(d^{(2)}(\omega, q))$$

and

$$g(d^{(2)}(\omega,q)^*) = g(d^{(2)}(\omega,q))$$

for all 1 < q < 2 and  $(\sqrt{2} - 1)^{2-q} < \omega < 1$ . Thus we finally have the following result.

**Theorem 4.3.** Let 1 < q < 2 and  $0 < \omega < 1$ . Then

$$J(d^{(2)}(\omega,q)^*) = J(d^{(2)}(\omega,q)) \text{ and } g(d^{(2)}(\omega,q)^*) = g(d^{(2)}(\omega,q)).$$

#### References

- F. F. Bonsall and J. Duncan, Numerical ranges II, Cambridge University Press, Cambridge, 1973.
- [2] J. Gao and K. S. Lau, On the geometry of spheres in normed linear spaces, J. Aust. Math. Soc. A 48 (1990), 101–112.
- [3] M. Kato and L. Maligranda, On James and Jordan-von Neumann constants of Lorentz sequence spaces, J. Math. Anal. Appl. 258 (2001), 457–465.
- [4] M. Kato, L. Maligranda and Y. Takahashi, On James and Jordan-von Neumann constants and the normal structure coefficient of Banach spaces, Studia Math. 144 (2001), 275–295.
- [5] K.-I. Mitani, S. Oshiro and K.-S. Saito, Smoothness of ψ-direct sums of Banach spaces, Math. Inequal. Appl. 8 (2005), 147–157.
- [6] K.-I. Mitani and K.-S. Saito, The James constant of absolute norms on R<sup>2</sup>, J. Nonlinear Convex Anal. 4 (2003), 399–410.

- [7] K.-I. Mitani and K.-S. Saito, Dual of two dimensional Lorentz sequence spaces, Nonlinear Anal., 71 (2009), 5238–5247.
- [8] K.-I. Mitani, K.-S. Saito and T. Suzuki, On the calculation of the James constant of Lorentz sequence spaces, J. Math. Anal. Appl. 343 (2008), 310–314.
- [9] S. Saejung, On James and von Neumann-Jordan constants and sufficient conditions for the fixed point property, J. Math. Anal. Appl. 323 (2006), 1018–1024.
- [10] K.-S. Saito, M. Kato and Y. Takahashi, Von Neumann-Jordan constant of absolute normalized norms on C<sup>2</sup>, J. Math. Anal. Appl. 244 (2000), 515–532.
- [11] K.-S. Saito, N. Komuro and K.-I. Mitani, *How to calculate James constants of Banach spaces*, in: Proceedings of the Fourth International Symposium on Banach and Function Spaces 2012, Yokohama Publishers, Yokohama, 2014, pp. 211–224.
- [12] T. Suzuki, A. Yamano and M. Kato, The James constant of 2-dimensional Lorentz sequence spaces, Bull. Kyushu Inst. Technol. Pure Appl. Math. 53 (2006), 15–24.

Manuscript received January 20, 2014 revised November 21, 2015

### K.-I. MITANI

Department of Systems Engineering, Okayama Prefectural University, Soja, 719-1197, Japan *E-mail address:* mitani@cse.oka-pu.ac.jp

#### K.-S. Saito

## R. TANAKA

Department of Mathematical Science, Graduate School of Science and Technology, Niigata University, Niigata 950-2181, Japan

*E-mail address:* ryotarotanaka@m.sc.niigata-u.ac.jp

Department of Mathematics, Faculty of Science, Niigata University, Niigata 950-2181, Japan *E-mail address:* saito@math.sc.niigata-u.ac.jp